

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 19025.053 <small>U.S. APPLICATION NO.: If known, see 7 CFR 1.5</small> 10729500 To Be Assigned
INTERNATIONAL APPLICATION NO. PCT/US2004/038496	INTERNATIONAL FILING DATE 17 November 2004	PRIORITY DATE CLAIMED 17 November 2003
TITLE OF INVENTION Methods and Agents for Screening for Compounds Capable of Modulating Her2 Expression		
APPLICANT(S) FOR DO/EO/US Anuradha MEHTA <i>et al.</i>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US); however, a courtesy copy is attached. 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4) and a courtesy copy is enclosed. 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input checked="" type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: A copy of Form PCT/IB/304 (Notification Concerning Submission or Transmittal of Priority Document), a copy of Form PCT/IB/308 (First Notice Informing the Applicant of the Communication of the International Application), and a copy of Form PCT/IB/332 (Information Concerning Elected Offices Notified of Their Election) 		

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) To Be Assigned 104579500		INTERNATIONAL APPLICATION NO. PCT/US2004/038496		ATTORNEY'S DOCKET NUMBER 19025.053																															
21. The following fees are submitted: <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%;"><input checked="" type="checkbox"/></td> <td>a) Basic national fee.....</td> <td style="width: 10%; text-align: right;">\$300.00</td> <td style="width: 10%; text-align: right;">Applicant use</td> <td style="width: 10%; text-align: right;">Office use only</td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td>b) Examination fee.....</td> <td style="text-align: right;">\$200.00</td> <td style="text-align: right;">\$ 300.00</td> <td></td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td>c) Search fee</td> <td style="text-align: right;">\$500.00</td> <td style="text-align: right;">\$ 200.00</td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td> <td style="text-align: right;">\$1000.00</td> <td style="text-align: right;">\$ 500.00</td> </tr> <tr> <td colspan="3"></td> <td></td> <td style="text-align: right;">\$ 1,000.00</td> </tr> </table>						<input checked="" type="checkbox"/>	a) Basic national fee.....	\$300.00	Applicant use	Office use only	<input checked="" type="checkbox"/>	b) Examination fee.....	\$200.00	\$ 300.00		<input checked="" type="checkbox"/>	c) Search fee	\$500.00	\$ 200.00		TOTAL OF ABOVE CALCULATIONS =			\$1000.00	\$ 500.00					\$ 1,000.00					
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<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (including sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.																																			
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<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 100%; text-align: right;">\$</td> <td></td> </tr> </table>						\$																													
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a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 50-2387 in the amount of \$ <u>4,100.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2387. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																																			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.																																			
SEND ALL CORRESPONDENCE TO: ARNOLD & PORTER LLP Attn: IP Docketing 555 Twelfth Street, N.W. Washington, DC 20004 Tel.: 202-942-5000 Fax: 202-942-5999																																			
 SIGNATURE David R. Marsh/Kristan L. Lansbery NAME 41,408/53,183 REGISTRATION NUMBER																																			

May 16, 2006

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: U.S. National Phase Application
Based on International Application No. PCT/US2004/038496
International Filing Date: 17 November 2004
Appln. No.: To Be Assigned
Filed: Herewith
Title: Methods and Agents for Screening for Compounds Capable of
Modulating Her2 Expression
Applicants: Anuradha MEHTA *et al.*
Atty. Docket: 19025.053

Sir:

The following documents are forwarded herewith for appropriate action by the United States Receiving Office (RO/US):

1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (in duplicate);
2. a Published International Application entitled:

Methods and Agents for Screening for Compounds Capable of Modulating Her2 Expression and naming as inventors:

Anuradha MEHTA and Christopher Robert TROTTA;
the application consisting of:
a. a specification containing:
(i) 63 pages of a description prior to the claims;
(ii) 5 pages of claims;

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Atty. Dkt.: 19025.053
Date May 16, 2006
Page 2

- (iii) a one page abstract;
- (iv) 8 pages of drawings (Figures 1-10);
- (v) 12 pages of a paper copy of the sequence listing;
- 3. a sequence listing in Computer Readable Form (CRF), on floppy diskette;
- 4. a Statement Regarding Sequence Submission;
- 5. a Preliminary Amendment;
- 6. a copy of Published International Search Report (WO 2005/049868 A1);
- 7. a copy of Form PCT/IB/304 (Notification Concerning Submission or Transmittal of Priority Document);
- 8. a copy of Form PCT/IB/308 (Second and Supplementary Notice Informing the Applicant of the Communication of the International Application);
- 9. a copy of Form PCT/IB/332 (Information Concerning Elected Offices Notified of Their Election);
- 10. an Information Disclosure Statement;
- 11. a Form PTO-1449 (citing 14 references and supplying 11 references); and
- 13. two (2) return postcards.

Applicants request that \$4,100.00 for the following fees be charged to Deposit Account No. 50-2387, referencing matter number 19025.053:

\$1,000.00 basic filing fee
\$3,100.00 excess claims fee.

Please stamp one of the two attached postcards with the filing date of these documents and return it to our courier, and stamp the other prepaid postcard with the filing date and unofficial application number and return it as soon as possible.

10/5/2006
ARNOLD & PORTER LLP

AP20 Rec'd. 10 MAY 2006
Commissioner for Patents
Atty. Dkt.: 19025.053
Date May 16, 2006
Page 3

In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned. Applicants do not believe any fees, other than the above fees (\$4,100.00) are due in conjunction with this filing. However, if any additional fees are required in the present application, including any fees for extensions of time, then the Commissioner is hereby authorized to charge such fees to Arnold & Porter LLP Deposit Account No. 50-2387, referencing matter number 19025.053. A duplicate of this letter is enclosed.

Respectfully submitted,

Kristan Lansbery

David R. Marsh (Reg. No. 41,408)
Kristan L. Lansbery (Reg. No. 53,183)

Attachments